



**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Heinrich **PAJUNK** and Horst **PAJUNK** Confirmation No.: **6059**

Application No.: **10/716,281** Examiner: **Catherine WILLIAMS**

Filed: **November 18, 2003** Group Art Unit: **3763**

For: **DEVICE FOR FIXATION OF CATHETER AND FILTER**

Attorney Docket No.: **2368.153**

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §1.97 and §1.98**

Mail Stop AMENDMENTS  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the following documents for the above-identified application. Copies of the documents set forth below and listed on the attached Form PTO-1449, along with copies of the European Search Report are provided herewith.

1. U.S. Patent No. US 4 561 857 A
2. European Patent No. EP 0 972 537 A
3. U.S. Patent No. US 6 428 514 B1
4. French Patent No. FR 2 351 348 A

U.S. Application No.: 10/716,281  
INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.97 and §1.98

Attorney Docket No.: 2368.153

**Document 1**

U.S. Patent No. US 4 561 857 A entitled, "Intravenous Filter Retainer" published on December 31, 1985.

In the International Search Report, Document 1 was cited as category "X" with relevancy to claims 1-5.

Document 1 is in the English language.

**Document 2**

European Patent No. EP 0 972 537 A entitled, "Medical Fixation Device" published on January 19, 2000.

In the International Search Report Document 2 was cited as category "X" with relevancy to claims 1, and 8-12.

Document 2 is in the English language.

**Document 3**

U.S. Patent No. US 6 428 514 B1 entitled, "Device for Administering Liquids to a Patient" published on August 6, 2002.

In the International Search Report Document 3 was cited as categories "A and D" with relevancy to claims 1-12.

Document 3 is in the English language.

**Document 4**

French Patent No. FR 2 351 348 A entitled, "Support Pour Objets Allonges" published on December 9, 1977.

In the International Search Report, Document 4 was cited as category "A" with relevancy to claims 1 and 12.

Applicants are not aware of any English language document equivalent to or in the Patent Family of Document 4.

U.S. Application No.: 10/716,281  
INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.97 and §1.98


Attorney Docket No.: 2368.153

The submission of the listed document is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedated or otherwise remove any listed document as a competent reference against the claims of the present application.

The present Information Disclosure Statement is being filed after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a final Office Action or Notice of Allowance (whichever is earlier) and, therefore, Applicants are filing currently herewith a Certification under 37 C.F.R. §1.97(e). No fee under 37 C.F.R. §1.17(p) is required.

Applicant respectfully requests that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

Respectfully submitted,

  
\_\_\_\_\_  
Evelyn A. Defillio  
Reg. No. 45,630

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(813) 886-6085

Dated: **November 22, 2005**

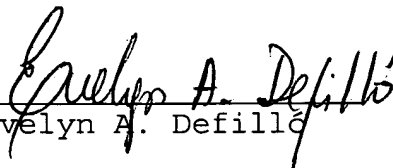
U.S. Application No.: 10/716,281  
INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.97 and §1.98

Attorney Docket No.: 2368.153

**CERTIFICATE OF MAILING AND AUTHORIZATION TO CHARGE**

I hereby certify that the foregoing INFORMATION DISCLOSURE STATEMENT, Form PTO-1449, including four (4) documents, for U.S. Application No. 10/716,281 filed November 18, 2003, were deposited in first class U.S. mail, postage prepaid to: Mail Stop AMENDMENTS, P. O. Box 1450, Alexandria, VA 22313-1450, on November 22, 2005

The Commissioner is hereby authorized to charge any additional fees which may be required at any time during the prosecution of this application without specific authorization, or credit any overpayment, to Deposit Account No. 16-0877.

  
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Evelyn A. DeFillio

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/716,281
Filing Date	November 18, 2003
First Named Inventor	Heinrich PAJUNK
Art Unit	3763
Examiner Name	Catherine WILLIAMS
Attorney Docket Number	2368.153

Sheet 1 of 1

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 4 561 857 A	12-31-1985	Norman L. SACKS	See Transmittal
		US- 6 428 514 B1	08-06-2002	Udo GOEBEL	See Transmittal
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		EP 0 972 537 A2	01-19-2000	Alan David MOGG	See Transmittal	
		FR 2 351 348 A	12-09-1977	DOWNS SURGICAL	See Transmittal	

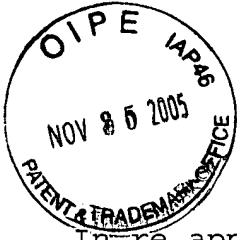
Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND**

TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Heinrich PAJUNK and Horst PAJUNK Confirmation No.: 6059

Application No.: 10/716,281 Examiner: Catherine WILLIAMS

Filed: November 18, 2003 Group Art Unit: 3763

For: DEVICE FOR FIXATION OF CATHETER AND FILTER

Attorney Docket No.: 2368.153

CERTIFICATION UNDER 37 C.F.R. §1.97(e)

**Mail Stop Amendment**

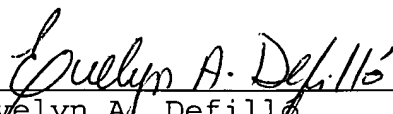
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The undersigned hereby certifies:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

  
\_\_\_\_\_  
Evelyn A. DeFillio  
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Dated: November 22, 2005

U.S. Application No.: 10/716,281  
CERTIFICATION UNDER' 37 C.F.R. §1.97(e)

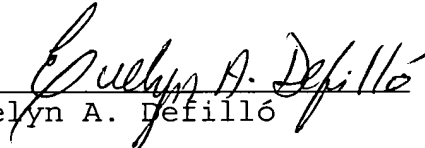
Attorney Docket No.: 2368.153



CERTIFICATE OF MAILING AND AUTHORIZATION TO CHARGE

I hereby certify that the foregoing CERTIFICATION for U.S. Application No.: 10/716,281 filed November 18, 2003, was deposited in first class U.S. mail, with sufficient postage, addressed: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on November 22, 2005.

The Commissioner is hereby authorized to charge any additional fees, which may be required at any time during the prosecution of this application without specific authorization, or credit any overpayment, to Deposit Account No. 16-0877.

  
Evelyn A. DeFillio